

Section #

PATENT
IN THE UNITED STATES PATENT & TRADEMARK OFFICE

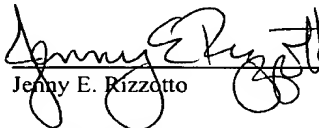
APPLICANT: Steven L. Krill, *et al.*
SERIAL NO.: 09/438,994
FILING DATE: 11/12/99
FOR: INHIBITORS OF CRYSTALLIZATION IN A
SOLID DISPERSION
EXAMINER: (not yet assigned)
GROUP ART UNIT: 1614
CASE NO. 6487.US.O1



Certificate of Mailing (37 C.F.R. § 1.8(a))
I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to:

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Date of Deposit: February 1, 2000

 2/1/00
Jenny E. Rizzotto DATE

TRANSMITTAL LETTER

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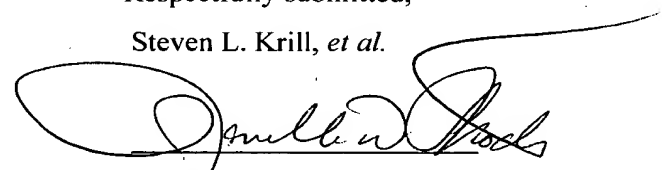
Dear Sir:

Enclosed herewith is the executed Declaration and Power of Attorney for the United States Patent Application of S. L. Krill, *et al.* for INHIBITORS OF CRYSTALLIZATION IN A SOLID DISPERSION.

Also enclosed are: Copy of Notice to File Missing Parts of Application
Response to Notice to File Missing Parts - Filing Date Granted
Return-Receipt Postcard

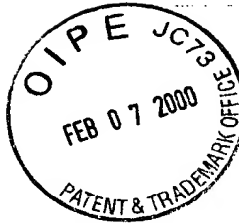
The Commissioner is hereby authorized to charge any Filing Fees required under 37 C.F.R. §1.16, as well as any patent application processing fees under 37 C.F.R. §1.17 associated with this communication for which full payment has not been tendered, to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

Respectfully submitted,
Steven L. Krill, *et al.*

A handwritten signature in dark ink, appearing to read "Janelle D. Strode".

Janelle D. Strode
Registration No. 34,738
Attorney for Applicants

Abbott Laboratories
Dept. 377 AP6D-2
100 Abbott Park Road
Abbott Park, IL 60064-6050
Tel. No. (847) 937-4395
Fax No. (847) 938-2623



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: S. L. Krill, et al.

Serial No.: 09/438,994

Filed: 11/12/99

For: INHIBITORS OF CRYSTALLIZATION
IN A SOLID DISPERSION

Examiner: (not yet assigned)

Case No.: 6487.US.01

Group Art Unit: 1614

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Date of Deposit: February 1, 2000

Jenny E. Rizzotto

Date

**RESPONSE TO NOTICE TO FILE
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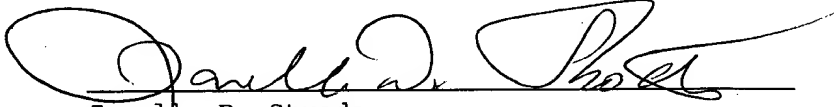
Assistant Commissioner for Patents
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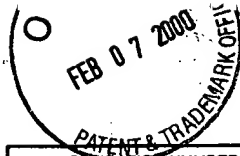
Dear Sir:

Responsive to enclosed Notice, Applicants herewith file an executed Declaration for the above-captioned case. The Commissioner is hereby authorized to charge \$130.00, and any other fees which may be due, associated with this communication for which full payment has not been tendered, to Deposit Account No. 01-0025. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

ABBOTT LABORATORIES
D-0377/AP6D-2
100 Abbott Park Road
Abbott Park, IL 60064-6050
Ph. (847) 937-4395
Fax: (847) 938-2623


Janelle D. Strode
Registration No. 34,738
Attorney for Applicants

**Patent and Trademark Office**Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/438,994

11/12/99

FORT,

6487.US.01

0212/1216

STEVEN F WEINSTOCK
ABBOTT LABORATORIES

D-377/AP6D

100 ABBOTT PARK ROAD

ABBOTT PARK IL 60064-3500

NOT ASSIGNED

DATE MAILED: 1614

12/16/99

NOTICE TO FILE MISSING PARTS OF APPLICATION**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing
☐ insufficient

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☐ 3. The oath or declaration:

- ☐ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☒ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

James S. Fort, Eric A. Schmitt, Yihong Qiu

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

09/438994 0000035 010025 27/11/2000 TLEB1 136.00 CH 0212/103